



Rule Change Policy SPRING 2022

Regulatory Review, Benchmarking and
Modernization Initiative



INTRODUCTION

Horse Racing Alberta's Rule Change Policy (Policy No. RLP007) is being updated.

The Spring 2022 process is a pilot process for the new annual review.



HRA MANDATE

The mandated objectives of HRA are:

- 1. To govern, direct, control, regulate, manage, market and promote horse racing in any or all of its forms;*
- 2. To protect the health, safety and welfare of racehorses and, with respect to horse racing, the safety and welfare of racing participants and racing officials; and*
- 3. To safeguard the interests of the general public in horse racing.*

Rules can and should evolve for horses and participants in the sport of racing. The new process will make it easier for all stakeholders to petition, review and implement rule changes.

OVERVIEW

Rule Change Policy (Policy No. RLP007)

Rules will be changed or added on an annual basis with a clear process for all stakeholders.

The Association of Racing Commissioners International (ARCI) develops Model Rules, standards and best practices. The ARCI process for pending rule changes was identified as a leading practice and used to develop the HRA policy. Following the ARCI model will allow stakeholders to follow the process, provide input and familiarize with changes.





PROCESS

1. Stakeholder submission “Petition for New Rule or Change to Existing Rule” Form
2. Review and Comments
3. Regulatory & Licensing Committee Review
4. Stakeholder Feedback
5. Regulatory & Licensing Committee Approval
6. HRA Board Approval
7. Rule Changes shared to HRA website and distributed to industry stakeholders
8. Finalization of changes will be updated in electronic version of “Rules Governing Horse Racing in Alberta”
9. Denied submissions will be returned to petitioner in writing with reasons why



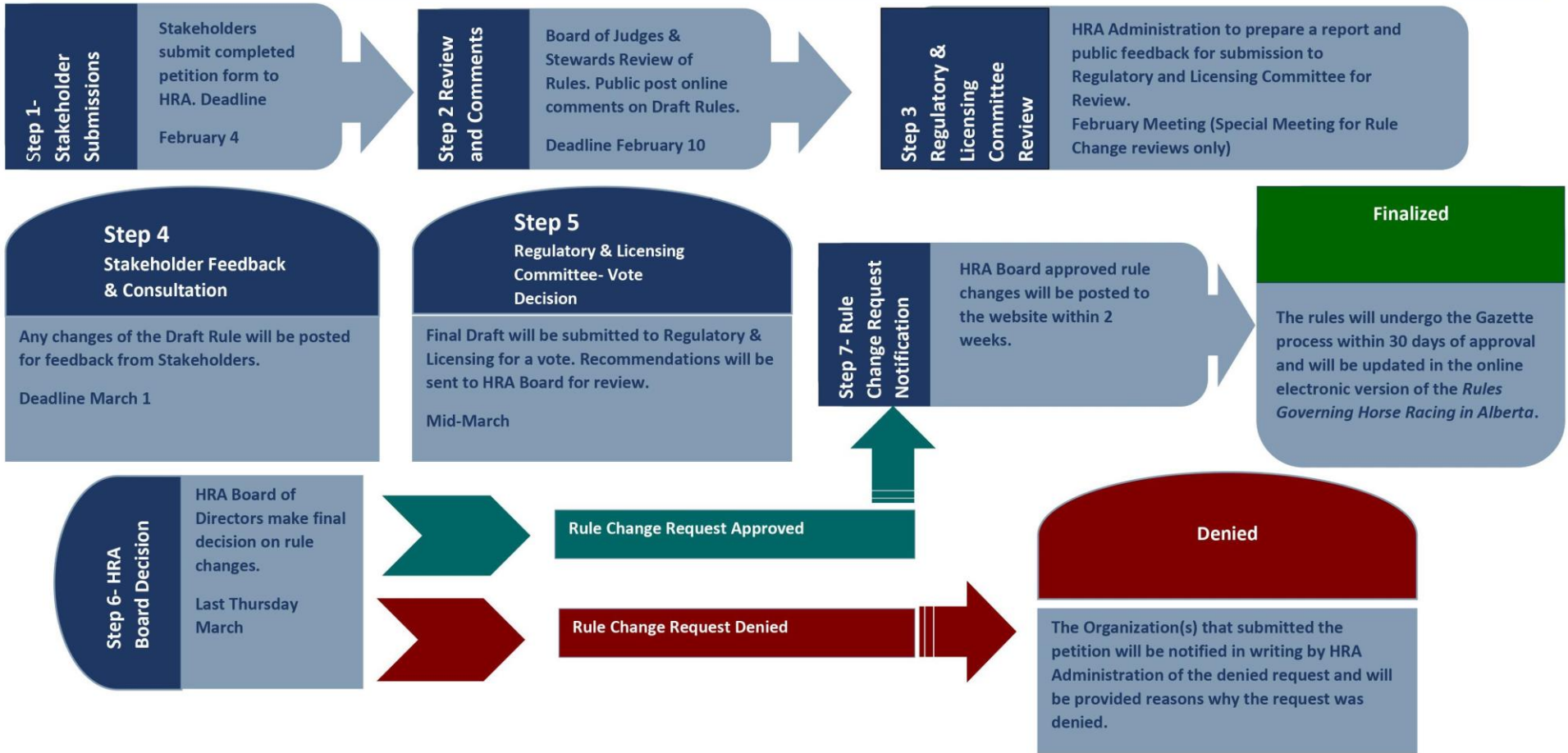
OBJECTIVES

1. Increase stakeholder engagement for proposed rule changes
2. Streamline rule change proposals from stakeholder groups. Stakeholder groups will provide more information about the rule change prior to the rule reaching the Regulatory and Licensing Committee level.
3. Provide a thorough review process for all rules from stakeholders.
4. Give public stakeholders access to the regulatory review process to add a layer of transparency and accountability to horse racing in Alberta.



HORSE RACING ALBERTA RULE CHANGE PROCESS | 2021

MAP





PROCEDURE

1. Stakeholder Submissions

When there is a specific problem or concern about a Rule in the “Rules Governing Horse Racing in Alberta” that a Stakeholder would like to have reviewed by the Regulatory and Licensing Committee they may submit a “Petition for New Rule or Change to Existing Rule” Form.

Filing the request with HRA does not guarantee the proposal will be considered. If there is opposition from an interested party, it is strongly encouraged to try to reach a consensus prior to filing the form. Any forms that are submitted incomplete WILL NOT be considered and returned.

Submissions must be emailed to Director, Regulatory and Supervisor of Racing OR SUBMITTED ONLINE no later than **FEBRUARY 4, 2022**.



2. Review and Comments

The Director, Regulatory and Supervisor of Racing and the Board of Stewards/Judges will review the petitions submitted.

Once reviewed the draft rule change request(s) will be posted to the Horse Racing Alberta website for input.

The public may submit their comments concerning the draft rule change requests.

Draft rules will be posted **FEBRUARY 10, 2022.**

Public comments on draft rules will be closed on **FEBRUARY 17, 2022.**



3. Regulatory & Licensing Committee Review

Horse Racing Alberta Administration will prepare the comments in a report for submission to the Regulatory and Licensing Committee to be reviewed on **FEBRUARY 22**. The report will include:

- Comments and information from the Board of Stewards and/or Judges
- Comments from public
- Comments and concerns from Industry Stakeholders



4. Stakeholder Feedback

If the Regulatory and Licensing Committee recommends any changes to the original draft rule change requests, the amended draft rule change will be posted for feedback from the Stakeholders.

Feedback will be available until **MARCH 3, 2022**.

5. Regulatory & Licensing Committee Vote

The final draft of the rule change request will be submitted to the Regulatory and Licensing Committee for a vote. Recommendations will be sent to the HRA Board for **MARCH 31, 2022**.



6. HRA Board Decision

Recommendations for rule change requests will be brought forward by the Chair of the Regulatory and Licensing Committee to be voted on by the HRA Board of Directors.

7. Rule Changes posted to HRA Website

Rules that are approved by the Horse Racing Alberta Board of Directors will be posted to the website within 2 weeks (14 days) of approval and distributed to industry stakeholders via email.

Association directors are responsible for distributing the new rule to their membership and for insuring HRA administration has current contact information.



8. Finalization

The final copy of the Rule Change (Directive) will undergo the Gazette process within 30 days of approval and will be updated in the online electronic version of the “Rules Governing Horse Racing in Alberta”.

9. Rule Denied

The Organization(s) that originally submitted the petition will be notified in writing by HRA Administration of the denied request and will also provide the list of reasons why, the request was denied.



SUMMARY

Annual submissions of rules and regulations will modernize the regulatory environment.

The process will incorporate leading practices which will increase effectiveness for all stakeholders.



Rule Change Procedure

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